I. COURSE OVERVIEW

This course builds upon SWK 8904, “Dissertation Proposal Seminar I: Literature Review.” It focuses on the nuts and bolts of dissertation proposal development. In light of what was gleaned from SWK 8904, we begin by conceptualizing an empirically testable research question relevant to Social Work and continue through to drafting mock results tables. Ideally, at the semester’s end, each student is expected to have completed a draft of the entire dissertation proposal, as outlined in Wurzweiler School of Social Work (2006), *Guide for the Writing of the Dissertation Proposal*. This timeline anticipates that each student begins the seminar with a well-defined dissertation topic and literature review.

This course will meet through a combination of in-person seminar sessions, individual meetings with the professor (in person, via phone, or via Zoom), and asynchronous online activities. The attainment of a doctoral degree is a scholarly pursuit. Scholarly work requires written and oral communication of ideas. It is expected that this seminar’s written assignments—draft proposal chapters—will be prepared with the utmost attention to clarity, sharpness of focus, organization, and grammar. All students are encouraged to avail themselves of the Writing Center’s services.

Note on Feedback: Students can expect written feedback on each chapter or section submitted through the CANVAS course platform within 7-10 days.

II. EDUCATIONAL OBJECTIVES

Knowledge objectives:

At the conclusion of this seminar, students will:

1. Understand the components of the proposal process.
2. Have the knowledge about resources needed for a dissertation proposal and study.
3. Be familiar with and able to apply ethical principles relevant to research.
4. Be familiar with the purpose of, and procedures required by, the Yeshiva University Institutional Review Board process.
5. Have mastered the appropriate application of the APA reference style.
Skill objectives:

At the conclusion of this course, students will be able to:

1. Clearly articulate the research question of their proposed dissertation, its importance to social work, and the knowledge gap that it fills.
2. Distinguish between theory, hypotheses, variables, and research questions.
3. Conduct a targeted literature review of electronic databases for scholarly journals, government documents and statistics, and dissertation abstracts.
4. Critically evaluate and synthesize the relevant literature.
5. Understand how to apply theory, by translating its propositions into testable hypotheses.
6. Evaluate the reliability and validity of measures.
7. Evaluate and compare the strengths and limitations of their research designs

III. COURSE REQUIREMENTS

A. Required Texts and Readings:


B. Assignments

Assignment 1: Analysis of Dissertation Accepted Proposals and Dissertations.

Due Date: 9/29

As an exercise in “look where you want to land,” skim the copies of accepted proposals and successfully defended dissertations under Files on the CANVAS course platform. Think about
what might be your own dissertation proposal topic. Your reflection on these proposals can use the topics below as a starting point, and you should also raise any related questions that come to you as you read.

1. **Problem Definition** - Clarity and importance of study to enhancing knowledge of profession?
2. **“So What?”** - How is research question justified re: contributions to SW knowledge building?
3. **Boundaries of Study** – What is/isn’t covered? How well defined and appropriate are boundaries?
4. **Literature Review Organization** - How is it organized and how effective is this schema?
5. **Literature Review Focus** - succinctness and relatedness to study problem?
6. **Literature Review Range & Recency** - includes “classic” and recent books, journals, government documents?
7. **Theory Choice and Explication** - appropriateness of theory and application to study problem?
8. **Research Question** – How is the research question operationalized?
9. **Hypotheses, & Variables** - How well is the rationale for these directly derived from the literature review?
10. **Methodology** - Identify the data source, and primary analytic methods

**Assignment 2: Class engagement**
**Due: Throughout the semester**
Course engagement is designed to give you an opportunity to respond to course readings, ask questions, and engage with your professor and colleagues. This will take place both in-person and on-line.

**Assignment 3: Draft Proposal**
**Due Date: 11/10 (Draft); 11/24 (Peer review); 12/8 (Final)**

Follow the "Guide" to prepare draft sections of your proposal. Submit each chapter or section of the dissertation proposal on the CANVAS course platform under Assignments:
- Assignment: Section I: The Proposal Overview [I recommend doing this last.]
- Assignment: Section II: The Study Problem
- Assignment: Section III: The Literature Review [Builds upon SWK 8904.]
- Assignment: Section IV: Theoretical Framework
- Assignment: Section V: The Research Questions
- Assignment: Section VI: Research Methodology
- Assignment: Section VII: Limitation of the Study
- Assignment: Section VIII: Anticipated Contributions of the Study
- Plan for Completion of the Dissertation

Dates in the syllabus show when complete drafts and the final version of the proposal (for this class) are due, but you are welcome to turn in drafts of individual sections as we go through the semester. Given satisfactory completion of SWK 8904, “Dissertation Proposal Seminar I: Literature Review,” for purposes of this course the literature review and theoretical sections may already be well-developed, though there will be ample opportunity for further refining these sections under guidance from whoever serves as your dissertation chair and committee members.

The theory section should lead the reader directly to an understanding of your research question and the place of your topic in social work knowledge development. Draft chapters are intended as such; the purpose is to get you started and to provide opportunity for feedback that you are
headed in the right direction. It is essential to keep up with the steady flow of work. Students will have additional opportunity to revise their proposals in upcoming semesters when they register for and take Proposal Methodology Lab I and II until such time as their dissertation committee and the YU Institutional Review Board (IRB) for Human Subjects Research approve the proposal. No data collection and/or use/analysis of secondary data for purposes of the dissertation is permitted to begin prior to IRB approval of the proposal.

Note: Students are advised to consider who among the faculty would make an appropriate chair of their dissertation committees, which for purposes of the proposal would include the chair and either two WSSW faculty members or one other WSSW faculty member and another scholar in the area of study subject to approval and invitation of the Doctoral Program Director – see the Student Manual for the PhD Program for related information. This can be discussed with their current advisors, who may or may not become the chair of the dissertation committee. Students should maintain close contact with their faculty advisor, i.e., should submit copies of their assignments to the advisor. The seminar is intended to build upon and facilitate group process and accountability structures between the course and individual consultation available in the faculty advising process. Students are well advised to discuss with their advisors who might be an appropriate faculty member to serve as chair of the dissertation committee and to have that person in place moving forward. Students will register for Proposal Methodology Lab I and II until the proposal is formally accepted by the dissertation proposal committee and approved by the YU Institutional Review Board (IRB).

By the semester’s end, ideally each student should have completed a draft of the proposal. Sections should be prepared according to guidelines in the Dissertation Proposal Guide (the checklists in the Guide should be helpful). Be sure that every citation has a reference. Use Publication Manual of the American Psychological Association (6th ed.) for correct format for citations in text and references at the end. See the Guide for format and style requirements for the entire proposal.

C. GRADING POLICY
A grade of S will be assigned for successful completion of coursework.

D. STUDENTS WITH DISABILITIES
Students with disabilities who are enrolled in this course and who will be requesting documented disability-related accommodations are encouraged to make an appointment with the Office of Disability Services as soon as possible: https://www.yu.edu/Student-Life/Resources-and-Services/Disability-Services. After approval for accommodations is granted, please submit your accommodations letter to Disability Services Office.

E. E-RESERVES
What is eReserve?
eReserve (Electronic Reserve) is Yeshiva University’s on-line web based system used to provide access to journal articles, book excerpts, and other course materials. Most articles listed in each syllabus are available in full text on eReserve. Due to copyright restrictions, however, some assigned readings may only be available via Print Reserves (Pollack Library, 2nd Floor).
To access E-Reserves from Canvas:

Electronic reserve lists are now automatically added to all Canvas course pages. Students can directly access the list without a password by clicking the "Library and Research Services" link on the Canvas page.

To view documents that are in pdf format, the computer you are using must have Adobe Acrobat Reader software. You can download it FREE at www.adobe.com/products/acrobat/readstep2.html

F. PLAGIARISM

Students should remember that the School will not condone plagiarism in any form and will sanction acts of plagiarism. A student who presents someone else's work as his or her own work is stealing from the authors or persons who did the original thinking and writing. Plagiarism occurs when a student directly copies another's work without citation; when a student paraphrases major aspects of another's work without citation; and when a student combines the work of different authors into a new statement without reference to those authors. It is also plagiarism to use the ideas and/or work of another student and present them as your own. It is not plagiarism to formulate your own presentation of an idea or concept as a reaction to someone else's work; however, the work to which you are reacting should be discussed and appropriately cited. Any student who can be shown to have plagiarized any part of any assignment in this course will automatically FAIL the course and will be referred to the Associate Dean for disciplinary action, which may include expulsion.

G. HIPAA ALERT

In line with the new HIPAA regulations concerning protected health information, it is important that you understand that any case information you present from your work will need to be de-identified. What this means is that any information that would allow another to identify the person needs to be changed or eliminated. This includes obvious things like names and birth dates but may also contain other information that is so unique to the person that it will allow for identification, including diagnosis, race/ethnicity, or gender. If diagnosis, race/ethnicity, gender is directly related to the case presentation it can be included if it will not allow for identification.
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<tr>
<th>Week</th>
<th>Topic</th>
<th>Reading</th>
<th>Assignments</th>
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| Week 1 (beginning 9/9) | Overview/Introductions | Wurzweiler Student Manual  
Wurzweiler Proposal Guide |                      |
| Week 2 (beginning 9/16) | The Study Problem  
The Literature Review | Patten, Chapters 2-3  
Wurzweiler Proposal Guide |                      |
| Week 3-4 (beginning 9/23) | Theoretical Framework | Wurzweiler Proposal Guide  
Patten, Chapter 10 | Assignment 1 due 9/29 |
| Week 5 (beginning 10/7) | Research Questions | Wurzweiler Proposal Guide  
Patten, Chapters 7, 11 |                      |
| Week 6-7 (beginning 10/14) | Research Methodology | Wurzweiler Proposal Guide  
Choose from Patten Chapters: 10-18, 27-31, 32,35, 37-38, 40-44 |                      |
| Week 8 (beginning 10/2) | Limitation of the Study  
Anticipated Contributions of the Study | Wurzweiler Proposal Guide |                      |
Patten, Chapters 22, 24, 45-47 | Draft of proposal due 11/10 |
| Week 10 (beginning 11/11) | Giving and receiving writing feedback | APA Guide |                      |
Patten, Chapter 48 | Peer review assignment due 11/24 |
Wurzweiler Proposal Guide  
Patten, Chapters 36, 39 |                      |
| Week 13 (beginning 12/2) | Formatting and academic writing | APA Guide  
Patten, Chapter 49 | Final assignment due 12/8 |
| Week 14 (beginning 12/9) | Review of course  
Plans for next steps | Wurzweiler Student Manual  
Wurzweiler Proposal Guide |                      |